

**Information in accordance with Section 63  
of the German Securities Trading Act [WpHG]  
As of: 08/2021**

**1. Information about our company**

MGW Gesellschaft für Geld- und Wertpapiervermittlung mbH  
Raiffeisenallee 16  
82041 Oberhaching

Telephone: +49 (0) 89 672 08 100  
Fax: +49 (0) 89 672 08 300

Internet: [www.mgw-muenchen.de](http://www.mgw-muenchen.de)  
E-mail: [info@mgw-muenchen.de](mailto:info@mgw-muenchen.de)  
Bloomberg: [tmgw1@bloomberg.net](mailto:tmgw1@bloomberg.net)  
LEI: 529900R4SY0OS8WQJX77

MGW mbH is recorded in the Munich Commercial Register under HRB 155203.  
Its sole Managing Director is Mr. Helmuth Huber.

**2. License**

MGW mbH possesses a license under Section 15, 86 (1) WpIG for the investment brokerage (Section 2 (2) No. 3 of the WpIG) without authorization to obtain ownership or possession of its customers' funds or securities and without authorization to engage in proprietary trading.  
MGW is a small, non-integrated securities institution.

**3. Service**

MGW mbH solely and exclusively provides the securities and financial service of "investment brokering" between eligible counterparties and/or professional clients with respect to financial instruments in the fixed income segment. In the money market segment, it does not broker financial instruments, but only financial products such as savings bonds and fixed term deposits.

**4. Relevant supervisory authorities**

Federal Financial Supervisory Authority  
[Bundesanstalt für Finanzdienstleistungsaufsicht, BaFin]  
Graurheindorfer Str. 108  
53117 Bonn

Federal Financial Supervisory Authority  
[Bundesanstalt für Finanzdienstleistungsaufsicht, BaFin]  
Marie Curie Str. 24-28  
60439 Frankfurt

Telephone: +49 (0) 228 41080  
Fax: +49 (0) 228 4108 15500  
E-mail: [postelle@bafin.de](mailto:postelle@bafin.de)  
Internet: [www.bafin.de](http://www.bafin.de)

MGW mbH is registered with BaFin under No. 119191.

According to Section 34c (1) no. 2 GewO (with effect from 01/01/2020):

IHK für München und Oberbayern  
Max-Joseph-Straße 2  
80333 München  
Ident No.: 945622

## **5. Compensation fund EDW**

MGW mbH is a member of the Securities Trading Companies Compensation Fund (EdW) located in Berlin.

Telephone: +49 (0) 30 203699 5625  
Internet: [www.e-d-w.de](http://www.e-d-w.de)  
Email: [mail@e-d-w.de](mailto:mail@e-d-w.de)

## **6. Tax number**

MGW mbH is subject to taxation in Germany and is registered with the Munich Tax Office under Tax No. DE 143 162 30475.

## **7. Banking information**

Hypo Vereinsbank AG  
Boschetsrieder Straße 71  
81379 Munich  
IBAN: DE94 7002 0270 0658 5095 27  
BIC: HYVEDEMMXXX

## **8. Compliance, data protection and money laundering prevention**

MGW mbH has appointed officers responsible for compliance, data protection and money laundering protection in accordance with the statutory regulations.

They can be reached at:

[compliance@mgw-muenchen.de](mailto:compliance@mgw-muenchen.de)  
[datenschutz@mgw-muenchen.de](mailto:datenschutz@mgw-muenchen.de)  
[gwp@mgw-muenchen.de](mailto:gwp@mgw-muenchen.de)

## **9. Conflicts of interest**

MGW mbH has taken precautions so that any potential conflicts of interest between clients/business partners and our company, between clients/business partners and our employees, or among clients/business partners do not affect client interests. We provide our services honestly, fairly, and professionally in the interests of our clients and avoid all conflicts of interest to the extent possible. For this purpose, we have created a compliance organization, which, in particular, includes the obligation to disclose all employee transactions, ongoing control of all brokering transactions, appropriate documentation of the entire brokering process, a prohibition on the acceptance of any gifts and other advantages, whether tangible or intangible in nature, by our employees, as well as continuing training and education.

## **10. Legal framework**

All contractual and business relationships between MGW mbH and its business partners are subject exclusively to German law, to the explicit exclusion of the UN Convention on Contracts for the International Sale of Goods. To the extent legally possible, jurisdiction shall lie with the courts where MGW mbH has its registered office. The contract language is German. Insofar as business communication must be conducted in a different language than German, German law shall be nonetheless exclusively applicable. Business partners may communicate with MGW mbH by telephone, in writing or electronically (letter, fax, e-mail, Bloomberg, Reuters).

## **11. Clients**

In the business of brokering financial instruments (fixed income segment), MGW mbH classifies its clients in accordance with Section 67 WpHG and only accepts eligible counterparties and – in individual cases, after review – professional clients, as business partners.

## **12. Additional information**

We will be glad to provide you with additional information about our company upon request.